PTO/SB/97 (09-04)
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- (1) Certificate of Transmission (1 sheet)
- (2) Information Disclosure Statement and PTO/SB/08a form (4 sheets)
- (3) 6 Cited References
- (4) Postcard

Develop	
Signature	
Daniel D. Sierchio (19	9008-39)
Typed or printed name of person si	gning Certificate
53,591	973-597-2500
Registration Number, if applicable	Telephone Number

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19008-39 ED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
William GADDY et al.	:	Examiner: N/A
	:	TC/Art Unit: N/A
Application No.: 10/590,781) :	
Filed: August 25, 2006)	
For: SYSTEM AND METHOD FOR	:	
PEER-TO-PEER CONNECTION OF	<i>)</i> :	•
CLIENTS BEHIND SYMMETRIC)	
FIREWALLS	•	November 28, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO/SB/08a form. Copies of the cited U.S. published applications are not enclosed. Copies of the other cited references, however, are enclosed.

It is respectfully requested that the PTO/SB/08a form be initialed and returned, indicating that the cited documents have been considered.

Receipt date: 12/04/2006 10590781 - GAU: 2457

19008-39

November 28, 2006

It is believed that no fee is due. However, if a fee is deemed necessary, the Commissioner is authorized to charge any required fees to Deposit Account 501358. A copy of this fee authorization is submitted for accounting purposes.

Applicants' undersigned attorney may be reached by telephone at (973) 597-2500.

All correspondence should continue to be directed to our address listed below.

Respectfully submitted,

Daniel D. Sierchio Attorney for Applicants Registration No. 53,591

DOCKET ADMINISTRATOR Lowenstein Sandler PC 65 Livingston Avenue Roseland, NJ 07068

PTO/SB/08a (07-05)

			P10/36/08a (07-05)
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ubstitute for form 1449A/PTO	DEC 0 4 2006 86	Application Number	10/590,781
NEODRATION D	ICO OCUDE	Filing Date	8/25/06
NFORMATION DISCLOS	ISTLUSURE	First Named Inventor	William GADDY et al.
STATELIE DV	A FINE LO A MATE		

INFORMATION DISCLOSURE

STATEMENT BY APRICALE

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 19008-39

U.S.PATENT DOCUMENTS

U.S.PATENT DOCUMENTS						
Examiner nitials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ^{2 (d known})				
	1	US-6,978,383	12-20-05	BOYLE et al.		
		US-				
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
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Examiner	(Clayton Milliams)	•	Date	10/09/2000
Signature	/Clayton Williams/		Considered	10/08/2009

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

Receipt date: 12/04/2006

10590781 - GAU: 2457

PTO/SB/08B (07-05)

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Substitute for form 1449B/PTO	Comp	Complete if Known		
Sub	stitute for form 1449B/PTO		Application Number	10/590,781
IN	FORMATION DISC	CLOSUR	Filing Date	8/25/06
STATEMENT BY APPLICANT			First Named Inventor	William GADDY et al.
		Art Unit	N/A	
		Examiner Name	N/A	
Sheet	2	of 2	Attorney Docket Number	19008-39

		NON PATENT LITERATURE DO	CUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
	1	Section 5.1 of "RFC 3027 - Protocol Complications with the IP 2001).	Network Add	ess Translator" (January 12,		
	2	Section 5.1 of "draft-ietf-nat-protocol-complications-06.txt" (O	ctober 10, 200	0).		
	3	Section 5.1 of "draft-ietf-nat-protocol-complications-04.txt" (Ju	ly 18, 2000).			
	4	Section 3.1 of "draft-ietf-nat-protocol-complications-01.txt" (June 25, 1999).				
	5 "NAT and peer-to-peer networking" (July, 17 1999).					
	6 USENET comp.dcom.modems.cable, comp.os.linux.networking "MediaOne - great cable modems, but they don't like Linux " (November 8, 1998 to, November 17, 1998).					
Examiner Signature	/	Clayton Williams/	Date Considered	10/08/2009		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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